Excel Securities & Associates, Inc.

Standardized
Letter of Authorization

Date: _____.

Account #: _____
Registration: _____
_____
_____

Telephone #: (_____)_____

Instructions

Fax completed LOA to Excel's home office 585-424-1247 or Pershing imaging if you input the requests at your location.

For complete internal account transfers use the Pershing Account Transfer Form.

Journal activity for accounts with like registrations does not require a signed LOA. Customers will be mailed a notification from Pershing upon completion of this activity.

Authorization Type

☐ **Fed Wire** ***(fees will apply)*** Charge to: ☐ Customer ☐ Representative ☐ Excel

Dollar Amount: _____ Name of Bank: _____
Bank Address: _____
ABA #: _____ Account #: _____
For Further credit to: _____
_____
For Further credit to: _____
_____
Additional detail: _____
_____

For International wires also provide:
***US Clearing Bank*:** _____
International Bank: _____
Swiss Code: _____
For further Credit to: _____

☐ **Third party check** ☐ Regular Mail ☐ Overnight (fees will apply)

Amount: _____
Payable to: _____
Address: _____
_____
Memo on Check _____

Charge to:
☐ Customer
☐ Representative

Signature Required
☐ Yes ☐ No

Frequency: ☐ One Time ☐ Monthly ☐ Quarterly ☐ Semi Annual ☐ Annual
Start Date: _____

☐ **Journal Requests**

Journal to Account #(s) _____
☐ **Cash** **$_____.**
☐ **Securities**
_____
_____

Provide symbols and # of shares separated by commas

_____
Signature Print Name

_____
Signature Print Name

Form printed on 9/9/2008

DAF 002-0108